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# Achieving a More Meaningful Assessment of Commercial Building Code Compliance

**Michael Rosenberg - Pacific Northwest National Laboratory**  
**Poppy Storm - Ecotope**

U.S. Department of Energy Building Energy Codes Program  
Energy Codes Commentator Webinar Series

AIA Provider #: I014 AIA Course #: BECPWS1016

ICC Provider Course #9707

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This webinar describes two recent studies that have attempted to develop a deeper and more meaningful assessment of commercial building code compliance. The first study conducted by PNNL tries to answer the question: "How much energy cost savings can be achieved through better compliance?" The second study conducted by Ecotope argues that evaluating codes should be directed at the perennial need to understand and improve the construction of new buildings.



*At the end of this course, participants should be able to understand:*

- ▶ Why are commercial energy code compliance assessments more challenging than residential assessments?
- ▶ What are more meaningful assessments of energy code compliance than simple pass fail metrics?
- ▶ How code evaluations can support interdependent efforts such as code design, enforcement training, and utility programs?
- ▶ What is the relationship between code compliance and post occupancy energy use?



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# **Study 1 - Assessing Potential Energy Cost Savings from Increased Energy Code Compliance in Commercial Buildings**

## **Michael Rosenberg - PNNL**

[http://www.pnnl.gov/main/publications/external/technical\\_reports/PNNL-24979.pdf](http://www.pnnl.gov/main/publications/external/technical_reports/PNNL-24979.pdf)



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# Background

# Why are Commercial Compliance Studies so Difficult Compared to Residential ?



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|                                                | Residential                                                           | Commercial                                                                                                                                                                                              |
|------------------------------------------------|-----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| # of Pages of Model Code (2015 IECC)           | 13 (Residential EE Chapter)                                           | 62 (Commercial EE Chapter)                                                                                                                                                                              |
| # of Measures to Verify in Compliance Studies  | 11                                                                    | ~100                                                                                                                                                                                                    |
| # New Code Changes Since 2004 (3 Code Cycles)  | 191                                                                   | 263                                                                                                                                                                                                     |
| # New Code Changes Affecting Building Controls | 4                                                                     | 70                                                                                                                                                                                                      |
| Distinct Building Types                        | Single Family, Low Rise Multifamily                                   | High Rise Multifamily, Warehouse, Office, School, Laboratory, Assembly, Sports Arena, Hospital, Medical Office Building, Retail, Hotel, Industrial, Gymnasium, Supermarket, Restaurant,..               |
| HVAC Equipment                                 | Furnace, Heat Pump, Air Conditioning Unit, Wall Cadets, Radiant Floor | Furnace, Heat Pump, Air Conditioning Unit, Wall Cadets, Radiant Floor, VAV, MZ, WSHP, GSHP, FCU, Cooling Towers, Pumps, Chillers (8 types), PTHP, SPVHP, Boilers, Condensing Units, Chilled Beams, .... |



# Why are Commercial Compliance Studies so Difficult Compared to Residential ?



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- ▶ Previous DOE efforts focused on checklists and % compliance
- ▶ Binary decision for each requirement
- ▶ Impact of partial compliance not well understood or quantified
- ▶ Relative importance of requirements either ignored or assigned importance based on judgment



# Commercial Compliance – Previous Work – Check List Approach



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| 90.1-2010<br>Section #                                | Plan Review                                                                                                                                                                                                                                                                                                                  | Complies?                                                                                                                                                           | Comments/Assumptions |
|-------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
| 4.2.2,<br>5.4.3.1.1, 5.7<br>[PR1] <sup>1</sup>        | Plans, specifications, and/or calculations provide all information with which compliance can be determined for the building envelope and document where exceptions are claimed. Envelope tradeoff option (5.6) or energy cost budget (11) submitted for buildings with vertical fenestration area >40% or skylight area >5%. | <input type="checkbox"/> Complies<br><input type="checkbox"/> Does Not Comply<br><input type="checkbox"/> Not Observable<br><input type="checkbox"/> Not Applicable |                      |
| 4.2.2,<br>6.4.4.2.1,<br>6.7.2<br>[PR2] <sup>1</sup>   | Plans, specifications, and/or calculations provide all information with which compliance can be determined for the mechanical systems and equipment and document where exceptions are claimed.                                                                                                                               | <input type="checkbox"/> Complies<br><input type="checkbox"/> Does Not Comply<br><input type="checkbox"/> Not Observable<br><input type="checkbox"/> Not Applicable |                      |
| 4.2.2, 6.7.2.3,<br>6.7.2.4<br>[PR5] <sup>1</sup>      | Plans document that systems are balanced in accordance with generally accepted engineering standards. Detailed instructions for HVAC systems commissioning included on the plans or specifications for $\geq 50,000$ ft <sup>2</sup> .                                                                                       | <input type="checkbox"/> Complies<br><input type="checkbox"/> Does Not Comply<br><input type="checkbox"/> Not Observable<br><input type="checkbox"/> Not Applicable |                      |
| 4.2.2, 7.7.1,<br>10.4.2<br>[PR3] <sup>1</sup>         | Plans, specifications, and/or calculations provide all information with which compliance can be determined for the service water heating systems and equipment and document where exceptions are claimed. Service water pressure booster systems designed with pressure sensors, pressure reducers, and flow controls.       | <input type="checkbox"/> Complies<br><input type="checkbox"/> Does Not Comply<br><input type="checkbox"/> Not Observable<br><input type="checkbox"/> Not Applicable |                      |
| 4.2.2, 8.4.1.1,<br>8.4.1.2, 8.7<br>[PR8] <sup>2</sup> | Plans, specifications, and/or calculations provide all information with which compliance can be determined for the electrical systems and equipment and document where exceptions are claimed. Feeder connectors sized in accordance with approved plans and branch circuits sized for maximum drop of 3%.                   | <input type="checkbox"/> Complies<br><input type="checkbox"/> Does Not Comply<br><input type="checkbox"/> Not Observable<br><input type="checkbox"/> Not Applicable |                      |
| 4.2.2, 9.4.4,<br>9.7<br>[PR4] <sup>1</sup>            | Plans, specifications, and/or calculations provide all information with which compliance can be determined for the interior lighting systems and equipment and document where exceptions are claimed.                                                                                                                        | <input type="checkbox"/> Complies<br><input type="checkbox"/> Does Not Comply<br><input type="checkbox"/> Not Observable<br><input type="checkbox"/> Not Applicable |                      |
| 4.2.2, 9.7<br>[PR8] <sup>2</sup>                      | Plans, specifications, and/or calculations provide all information with which compliance can be determined for the exterior lighting systems and equipment and document where exceptions are claimed.                                                                                                                        | <input type="checkbox"/> Complies<br><input type="checkbox"/> Does Not Comply<br><input type="checkbox"/> Not Observable<br><input type="checkbox"/> Not Applicable |                      |

# Current Research Project Approach



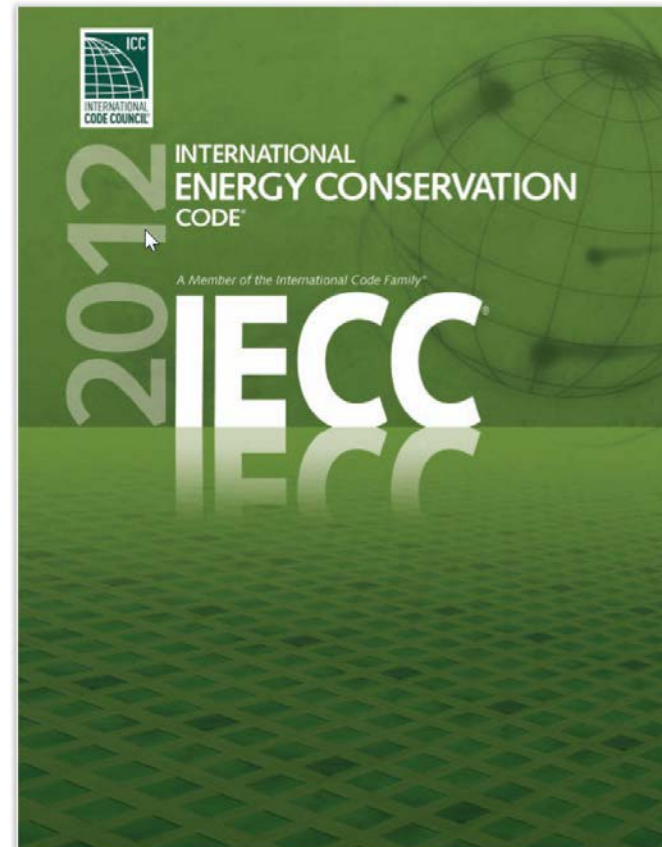
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- ▶ Forget the question; “does it comply?”
- ▶ Instead; how much energy cost savings could potentially be gained through better compliance with the code?
- ▶ How can that savings be captured effectively?



- ▶ Simplified process to test the approach
  - One building type
    - Office buildings with simple HVAC systems
  - One climate zone
    - Climate zone 4C
  - One code
    - 2012 IECC





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# Preliminary Analysis

# 1. Identify Applicable Requirements (2.3.1)



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- ▶ Identify all requirements in the 2012 IECC = **396**
- ▶ Eliminate those not applicable to building type and CZ or not directly responsible for energy savings = **149** remaining
- ▶ Group into related measures = **63 relevant groups**
  - Example:

occupancy sensors must be present

+

occupancy sensors must be manual on

+

occupancy sensors must shut off within 30 minutes

=

1 occupancy sensor measure



## 2. Develop a Range of Conditions for Each Measure



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- ▶ Develop a range of conditions expected to be encountered in the field. Code → Below → Worst

| <b>Measure Name</b>                              | <b>Code-Condition</b>                 | <b>Below-Code Condition</b>       | <b>Worst Condition</b>             |
|--------------------------------------------------|---------------------------------------|-----------------------------------|------------------------------------|
| Roofs shall be insulated to meet CZ requirements | 100% required U-value                 | 150% required U-value             | No insulation                      |
| Thermostat deadband requirement                  | Deadband 5 <sup>0</sup> F as required | 2 <sup>0</sup> F                  | No Deadband                        |
| Interior lighting power allowance                | Meets whole building LPD              | Exceeds whole building LPD by 50% | Exceeds whole building LPD by 100% |

# 3. Simulate Measure Conditions to Assign Energy Cost Value



- ▶ Using prototype office building model simulate each condition to estimate lost energy cost savings
  - Used national average utility costs
  - Normalized cost impact to appropriate metric (i.e., ft<sup>2</sup>, cfm, tons)

| Measure Name                                     | Metric                                  | Code-Condition           | Below-Code-Condition              | Worst-Condition                    |
|--------------------------------------------------|-----------------------------------------|--------------------------|-----------------------------------|------------------------------------|
| Roofs shall be insulated to meet CZ requirements |                                         | 100% req'd U-value       | 150% req'd U-value                | No insul                           |
| Lost \$ savings                                  | per ft <sup>2</sup> net roof area       | \$0.000                  | \$0.015                           | \$0.537                            |
| Interior lighting power allowance                |                                         | Meets whole building LPD | Exceeds whole building LPD by 50% | Exceeds whole building LPD by 100% |
| Lost \$ savings                                  | per ft <sup>2</sup> building floor area | \$0.000                  | \$0.152                           | \$0.304                            |



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# Field Work

## 4. Identify and Recruit Buildings



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- ▶ Current research did not develop recruiting strategy or sampling metrics
- ▶ Contractor (Ecotope) used Dodge Database and cold calls
- ▶ Nine building sample
  - Recruiting success rate was 7.4% (9 out of 121 candidates).
  - On average, 10 phone contacts were necessary to screen, recruit, and schedule each successful site.
  - Recruiters spent about 135 person-hours to secure the nine buildings.

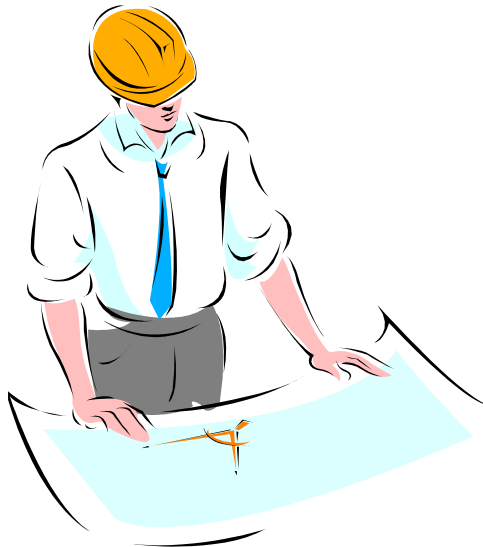
# 5. Field Audits



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- ▶ Construction Document Review
- ▶ Field Audit
  - Determine Condition for Each Measure
  - 1 visit per site
  - Not all measures observable during single visit







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# Calculation of Lost Savings

# 6. Calculation of Lost Energy Cost Savings from Field Data



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- ▶ Based on found condition and metric quantity, lost \$ savings is assigned to each measure and summed for total building impact

$$\text{Measure lost savings} = \frac{\text{Condition lost savings}}{\text{metric unit}} * \text{found metric units}$$

Example Roof Insulation lost savings :

Found condition: Roof insulation U-value = 150% code

Roof area = 900 ft<sup>2</sup>

$$\text{Roof Insulation lost savings} = \frac{\$0.015}{\text{ft}^2} * 900 \text{ ft}^2 = \$13.50$$

$$\text{Building lost savings} = \sum \text{measure lost savings}$$

$$\text{Sample lost savings} = \sum \text{building lost savings}$$

# Lost Energy Cost Savings Results – Nine Building Sample



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## ► Summary

- Of 63 measures, 19 not applicable in any building
- 95% of all applicable measures were verifiable (plan or inspection)
- 75% of all measures applicable complied

|                                               | Building Identifier |         |         |         |         |         |         |         |         | Total Sample |
|-----------------------------------------------|---------------------|---------|---------|---------|---------|---------|---------|---------|---------|--------------|
|                                               | A                   | B       | C       | D       | E       | F       | G       | H       | I       |              |
| Building floor area, ft <sup>2</sup>          | 1,056               | 1,540   | 2,897   | 4,554   | 2,940   | 7,075   | 2,595   | 900     | 3,600   | 27,157       |
| Annual Lost Energy Cost Savings               | \$223               | \$515   | \$550   | \$573   | \$218   | \$101   | \$638   | \$204   | \$351   | \$3,372      |
| Present Value of Lost Life-Cycle Cost Savings | \$3,044             | \$6,711 | \$7,071 | \$8,494 | \$3,749 | \$1,272 | \$8,164 | \$2,730 | \$5,196 | \$46,430     |

- If all 9 buildings complied fully the total savings would **\$3,372** annually or **\$46,430** over the building life

- ▶ Method does not consider interactive impacts  
*below windows + below HVAC ≠ below windows + code HVAC*
- ▶ How important are interactions? - Test
  - ▶ Develop average conditions for each measure in the sample
  - ▶ Simulate using prototype
  - ▶ Compare normalized lost savings between sum of individual measures and interactive simulation

## Comparison of Savings Potential: Sum of Individual Measures vs. Interactive Impact

| <b>Applied to Nine Building Sample</b>                   | <b>Annual Lost Energy Cost Savings</b> |
|----------------------------------------------------------|----------------------------------------|
| Lost savings from interactive simulation (\$/yr)         | \$3,603                                |
| Lost savings from sum of the individual measures (\$/yr) | \$3,372                                |
| Lost savings difference                                  | \$231                                  |
| Interactive effect                                       | 6.8%                                   |

# Cost of Compliance Verification



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- ▶ Auditor tracked time to verify compliance
  - Both measure specific and indirect (travel, security, accessing plans)
- ▶ Prorated indirect to each measure





# Cost of Compliance Verification



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| Measures with Lost Savings                                                | Verification hours |
|---------------------------------------------------------------------------|--------------------|
| Mechanical systems commissioning requirement                              | 0.24               |
| Equipment sizing requirement                                              | 3.41               |
| Building entrances shall be protected with an enclosed vestibule.         | 0.87               |
| Thermostat setback and start/stop controls                                | 2.55               |
| Thermostat deadband requirement                                           | 2.56               |
| Economizers have appropriate high-limit shutoff control and be integrated | 3.00               |
| Roofs shall be insulated to meet CZ requirements                          | 2.47               |
| Lighting commissioning requirement                                        | 2.90               |
| Interior lighting power allowance                                         | 4.44               |
| Window-to-wall ratio meets maximum limits.                                | 4.25               |
| Automatic time switch control                                             | 0.55               |
| Economizer supplies 100% design supply air                                | 2.89               |
| Manual lighting control                                                   | 2.74               |
| Occupancy sensor control                                                  | 3.36               |
| Heat pump supplementary heat control                                      | 1.38               |
| Slab-on-grade floors meet insulation requirements and are protected       | 2.66               |
| Above grade frame walls shall be insulated to meet CZ requirements        | 3.34               |
| Recessed lighting shall be sealed, rated and labeled.                     | 0.98               |
| Exit sign maximum power                                                   | 2.78               |
| SWH pipe insulation - non-recirculated                                    | 1.08               |
| Daylight zone control                                                     | 2.73               |
| Duct insulation requirement                                               | 2.39               |
| SWH heat trap                                                             | 2.11               |
| Water heater efficiency, electric                                         | 2.93               |
| Damper control when space is unoccupied                                   | 2.17               |
| <b>Total for measures with below-code potential savings</b>               | <b>60.8</b>        |
| <b>Total for measures with no potential savings identified (met code)</b> | <b>40.9</b>        |
| <b>Total for all applicable measures</b>                                  | <b>102</b>         |

# Cost of Compliance Verification



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| Measures with Lost Savings                                                | Sample Lost Savings | Verification |
|---------------------------------------------------------------------------|---------------------|--------------|
|                                                                           | Life-Cycle          | hours        |
| Mechanical systems commissioning requirement                              | \$1,647             | 0.24         |
| Equipment sizing requirement                                              | \$13,054            | 3.41         |
| Building entrances shall be protected with an enclosed vestibule.         | \$1,758             | 0.87         |
| Thermostat setback and start/stop controls                                | \$4,990             | 2.55         |
| Thermostat deadband requirement                                           | \$4,426             | 2.56         |
| Economizers have appropriate high-limit shutoff control and be integrated | \$3,353             | 3.00         |
| Roofs shall be insulated to meet CZ requirements                          | \$2,288             | 2.47         |
| Lighting commissioning requirement                                        | \$2,525             | 2.90         |
| Interior lighting power allowance                                         | \$3,705             | 4.44         |
| Window-to-wall ratio meets maximum limits.                                | \$3,163             | 4.25         |
| Automatic time switch control                                             | \$280               | 0.55         |
| Economizer supplies 100% design supply air                                | \$1,444             | 2.89         |
| Manual lighting control                                                   | \$1,015             | 2.74         |
| Occupancy sensor control                                                  | \$918               | 3.36         |
| Heat pump supplementary heat control                                      | \$356               | 1.38         |
| Slab-on-grade floors meet insulation requirements and are protected       | \$446               | 2.66         |
| Above grade frame walls shall be insulated to meet CZ requirements        | \$468               | 3.34         |
| Recessed lighting shall be sealed, rated and labeled.                     | \$85                | 0.98         |
| Exit sign maximum power                                                   | \$216               | 2.78         |
| SWH pipe insulation - non-recirculated                                    | \$64                | 1.08         |
| Daylight zone control                                                     | \$121               | 2.73         |
| Duct insulation requirement                                               | \$76                | 2.39         |
| SWH heat trap                                                             | \$25                | 2.11         |
| Water heater efficiency, electric                                         | \$5                 | 2.93         |
| Damper control when space is unoccupied                                   | \$2                 | 2.17         |
| <b>Total for measures with below-code potential savings</b>               | <b>\$46,430</b>     | <b>61</b>    |
| <b>Total for measures with no potential savings identified (met code)</b> | <b>\$0</b>          | <b>41</b>    |
| <b>Total for all applicable measures</b>                                  | <b>\$46,430</b>     | <b>102</b>   |

# Cost of Compliance Verification



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| Measures with Lost Savings                                                | Sample Lost Savings | Verification<br>hours | Lost Saving<br>\$ / Hour |
|---------------------------------------------------------------------------|---------------------|-----------------------|--------------------------|
|                                                                           | Life-Cycle          |                       |                          |
| Mechanical systems commissioning requirement                              | \$1,647             | 0.24                  | \$6,741                  |
| Equipment sizing requirement                                              | \$13,054            | 3.41                  | \$3,829                  |
| Building entrances shall be protected with an enclosed vestibule.         | \$1,758             | 0.87                  | \$2,014                  |
| Thermostat setback and start/stop controls                                | \$4,990             | 2.55                  | \$1,953                  |
| Thermostat deadband requirement                                           | \$4,426             | 2.56                  | \$1,726                  |
| Economizers have appropriate high-limit shutoff control and be integrated | \$3,353             | 3.00                  | \$1,118                  |
| Roofs shall be insulated to meet CZ requirements                          | \$2,288             | 2.47                  | \$926                    |
| Lighting commissioning requirement                                        | \$2,525             | 2.90                  | \$871                    |
| Interior lighting power allowance                                         | \$3,705             | 4.44                  | \$835                    |
| Window-to-wall ratio meets maximum limits.                                | \$3,163             | 4.25                  | \$744                    |
| Automatic time switch control                                             | \$280               | 0.55                  | \$510                    |
| Economizer supplies 100% design supply air                                | \$1,444             | 2.89                  | \$499                    |
| Manual lighting control                                                   | \$1,015             | 2.74                  | \$370                    |
| Occupancy sensor control                                                  | \$918               | 3.36                  | \$273                    |
| Heat pump supplementary heat control                                      | \$356               | 1.38                  | \$259                    |
| Slab-on-grade floors meet insulation requirements and are protected       | \$446               | 2.66                  | \$167                    |
| Above grade frame walls shall be insulated to meet CZ requirements        | \$468               | 3.34                  | \$140                    |
| Recessed lighting shall be sealed, rated and labeled.                     | \$85                | 0.98                  | \$87                     |
| Exit sign maximum power                                                   | \$216               | 2.78                  | \$78                     |
| SWH pipe insulation - non-recirculated                                    | \$64                | 1.08                  | \$59                     |
| Daylight zone control                                                     | \$121               | 2.73                  | \$44                     |
| Duct insulation requirement                                               | \$76                | 2.39                  | \$32                     |
| SWH heat trap                                                             | \$25                | 2.11                  | \$12                     |
| Water heater efficiency, electric                                         | \$5                 | 2.93                  | \$2                      |
| Damper control when space is unoccupied                                   | \$2                 | 2.17                  | \$1                      |
| <b>Total for measures with below-code potential savings</b>               | <b>\$46,430</b>     | <b>60.8</b>           | <b>\$764</b>             |
| <b>Total for measures with no potential savings identified (met code)</b> | <b>\$0</b>          | <b>40.9</b>           | <b>\$0</b>               |
| <b>Total for all applicable measures</b>                                  | <b>\$46,430</b>     | <b>102</b>            | <b>\$455</b>             |



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# Ranking Measures

# Going Forward – Do We Need to Look at all Measures?



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- ▶ Probably not realistic to verify all measures
- ▶ 63 for a simple building, could easily double for a complex building
- ▶ How to simplify in the future? **Prioritize**
  - Focus on measures with the biggest bang for the buck
  - Rank in 2 ways:
    - From Study → \$ savings identified / hour spent on verification
    - From simulation sensitivity analysis → Highest potential lost savings

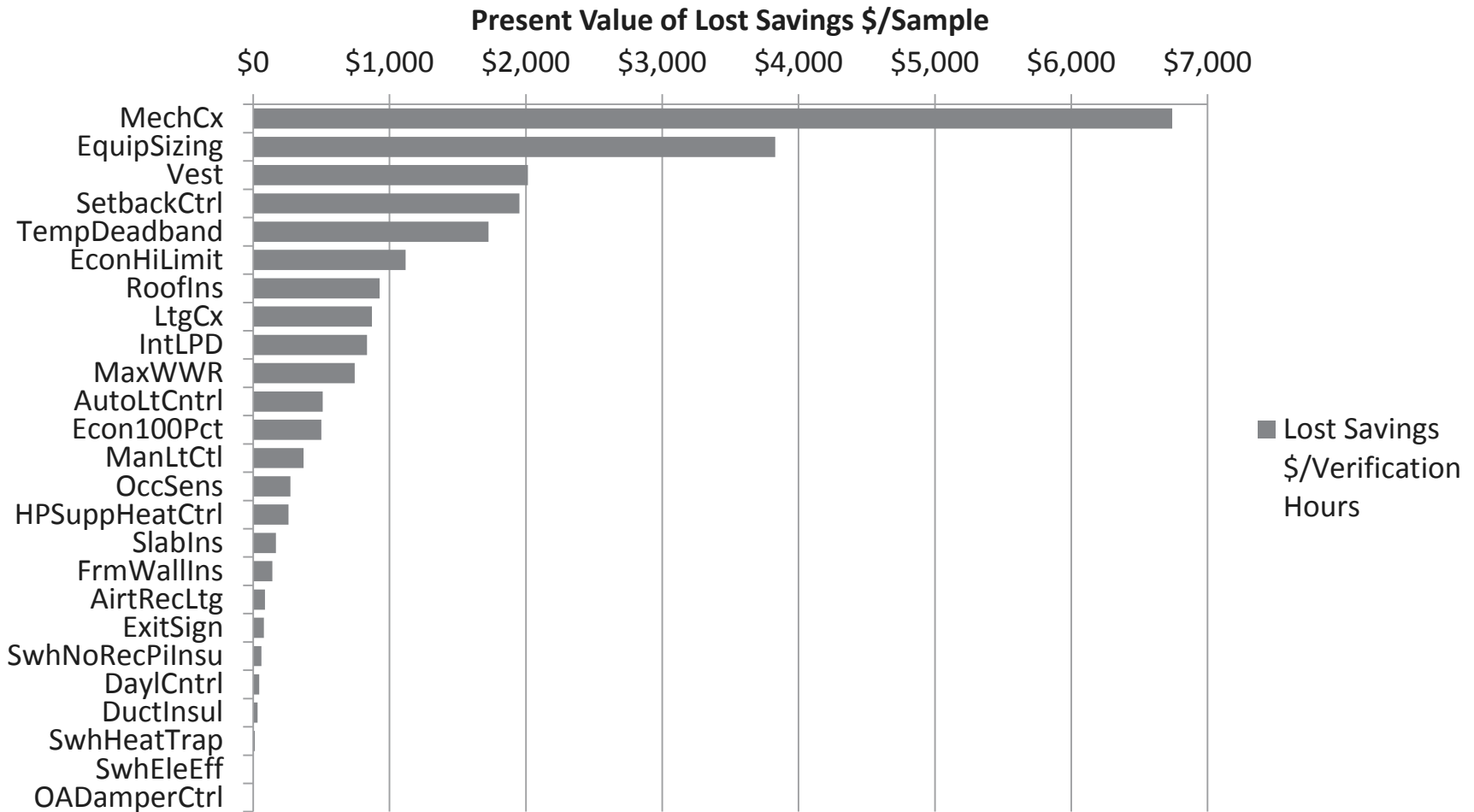
# Ranking Based on Field Studies



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## Lost Life-Cycle Savings From Code Non-Compliance/Verification Hours\*



\* Results based on 9 buildings only. Will need additional data to draw conclusions



# Ranking Based on Field Studies



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- ▶ In this sample, 9 measures (14%) responsible for 81% for the savings

## Summary of Measures and Instances in this Sample

| Grouping by Lost Savings per Hour and Applicability | Measures |     | Applicable Instances |     | Life-Cycle Lost Savings | % Lost Life-Cycle Savings |
|-----------------------------------------------------|----------|-----|----------------------|-----|-------------------------|---------------------------|
|                                                     | #        | %   | #                    | %   |                         |                           |
| High lost \$/verification hour (>\$750/hour)        | 9        | 14% | 61                   | 21% | \$37,747                | 81%                       |
| Med lost \$/verification hour (\$750-\$400 /hour)   | 3        | 5%  | 18                   | 6%  | \$4,886                 | 11%                       |
| Low lost \$/verification hour (<\$400/hour)         | 13       | 21% | 90                   | 31% | \$3,797                 | 8%                        |
| Compliant with code                                 | 19       | 30% | 120                  | 42% | \$0                     | 0%                        |
| Not applicable this sample                          | 19       | 30% | 0                    | 0%  | \$0                     | 0%                        |
| Total                                               | 63       |     | 289                  |     | \$46,430                |                           |

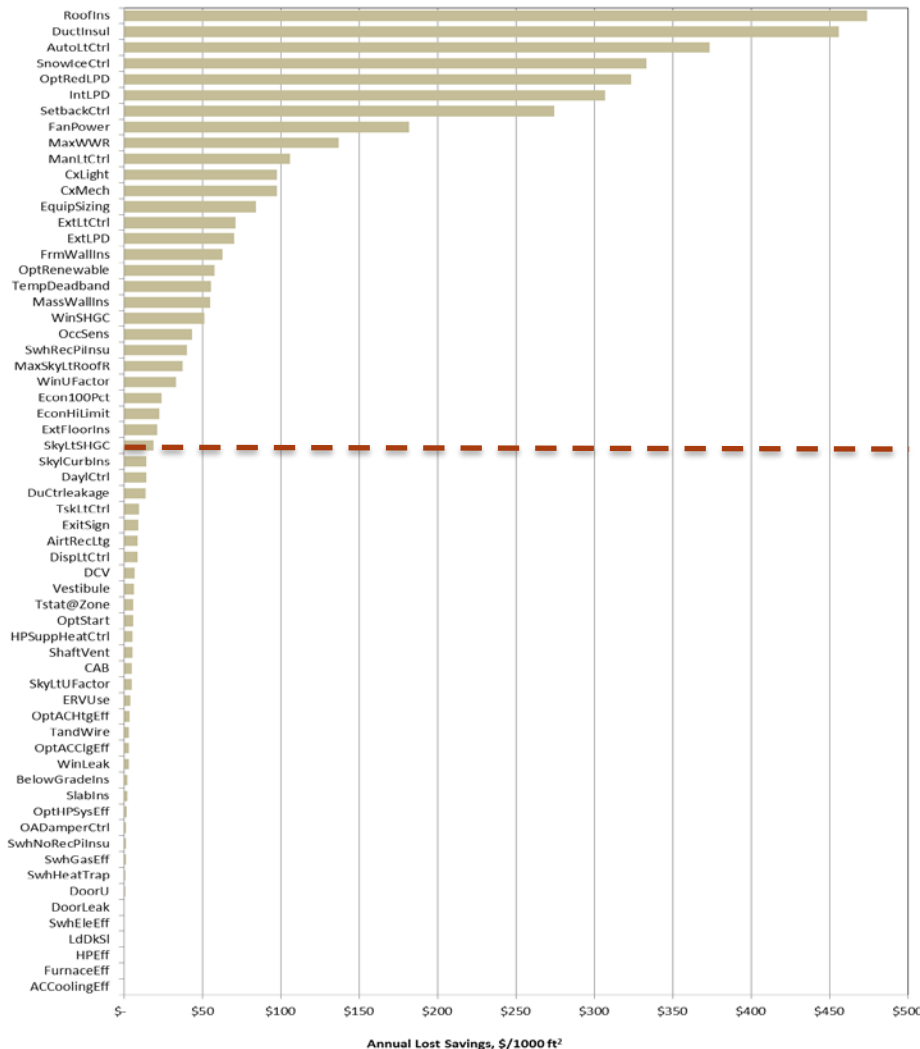
# Ranking Based on Sensitivity Analysis Simulation



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Range of Annual Lost Energy Cost Savings



- ▶ Worst case lost savings
- ▶ Simulation can lead to initial screening
- ▶ No need to look at measures that have no chance of being impactful



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# Future Implications

# Lessons Learned and Recommendations for Future Compliance Studies



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- ▶ Prioritize measures to reduce study costs
  - Eliminate measures with low worst case potential lost savings based on simulation sensitivity analysis
  - Eliminate measures with low lost savings potential / verification hour based on data from future studies
- ▶ “Piggy back” assessment with jurisdiction compliance inspections
  - Too time consuming and low incidence rate with cold calls
- ▶ 1 visit is not enough to assess all measures
  - Follow residential approach for site visits
  - 1 visit per site at different phases of construction

DOE has awarded \$1.7 Million to the Institute of Market Transformation (IMT) to roll out this approach on up to 250 buildings in 3 states



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# **Study 2 - Measuring What Matters: A Methodology for Moving from Code Compliance to Code Evaluation**

## **Poppy Storm - Ecotope**



# Measuring what Matters: A Methodology for Moving From Code Compliance Assessment to Code Evaluation

Presented by Poppy Storm, Ecotope, Inc.

October 13, 2016

NORTHWEST ENERGY EFFICIENCY ALLIANCE



# Codes Seek to Influence Current Practice...

...in order to reduce energy use overtime.

Evaluation approach moves away from narrow compliance determination for individual components toward building systems and impacts on actual energy use.



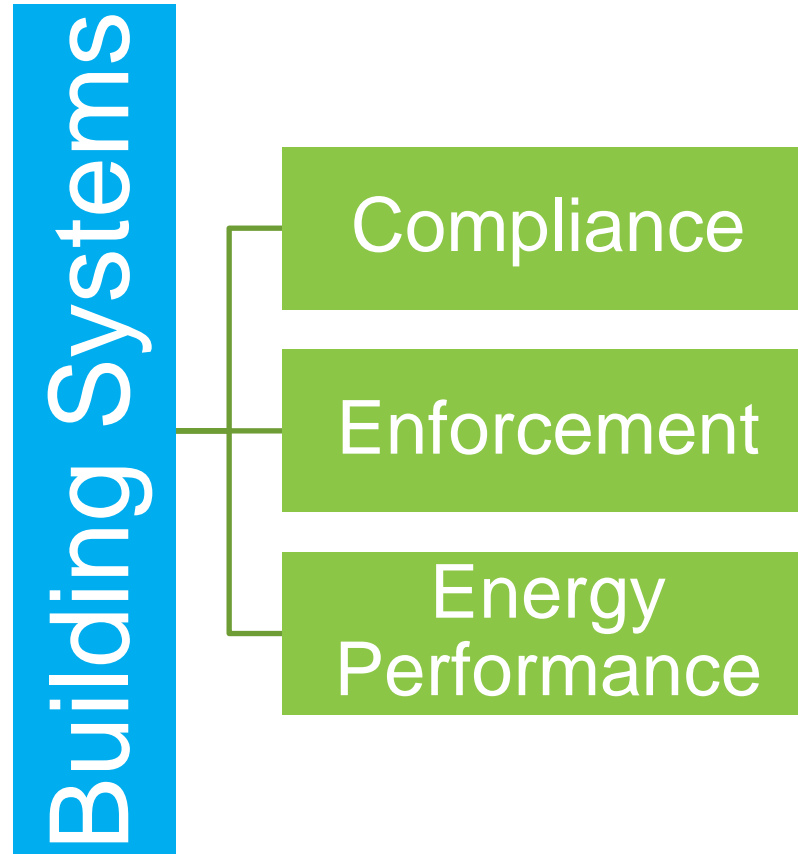
# Current Practice & Actual Energy Use at the Heart of the Methodology

- Codes influence building characteristics
- Characteristics and design define energy use
- Energy use can be a gage of code progress over time
- Benchmarking and code compliance need the same core data:
  - Characteristics
  - Energy use

# Approach Delivers Wide Spectrum of Value

- ✓ Benchmark characteristics and new construction practices
- ✓ Identify major compliance gaps
- ✓ Benchmark new construction EUIs
- ✓ Analyze relationship between characteristics, compliance and energy use
- ✓ Inform commercial code and program development
- ✓ Inform enforcement efforts
- ✓ Update commercial new construction baseline data
- ✓ Modeling inputs for *ex ante* savings estimates

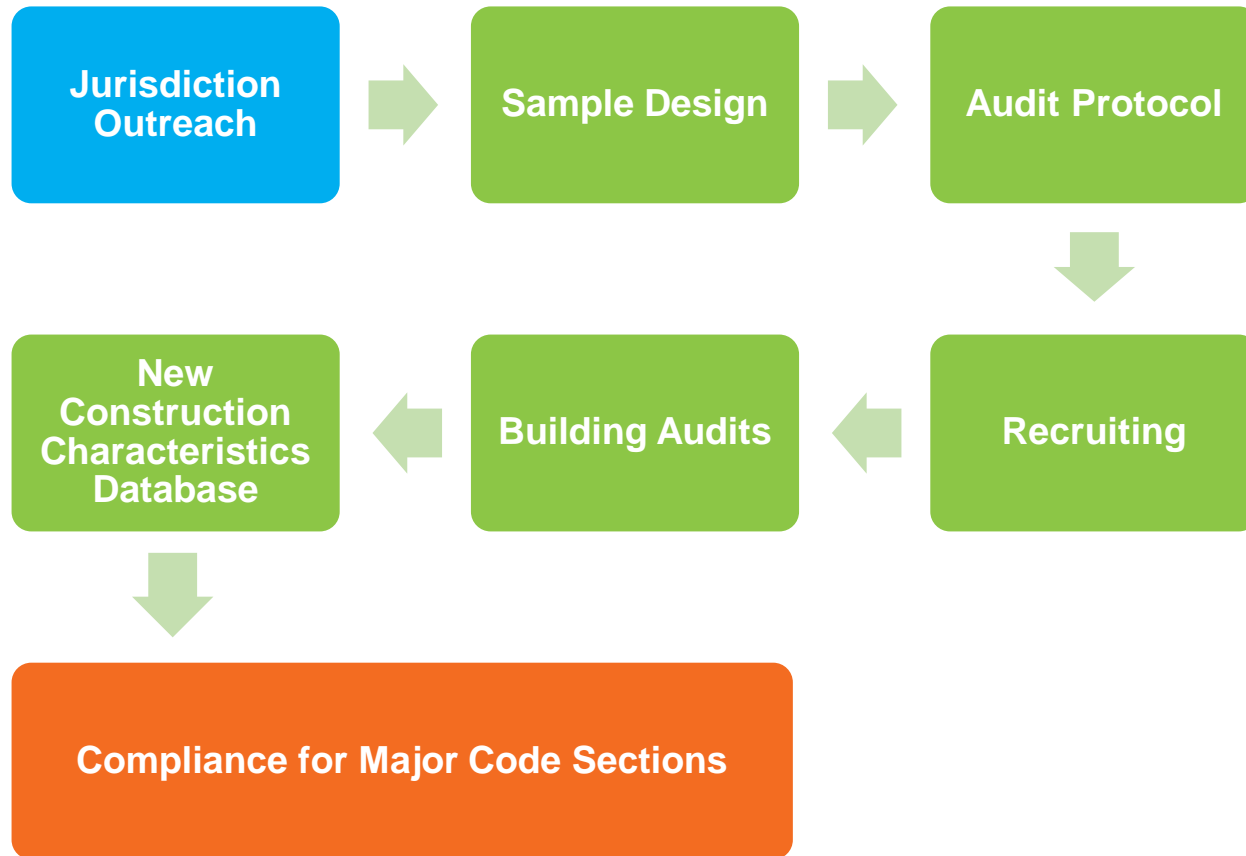
# Methodology Linked by Buildings Systems



# Focus on “High Value” Aspects of Each Major System

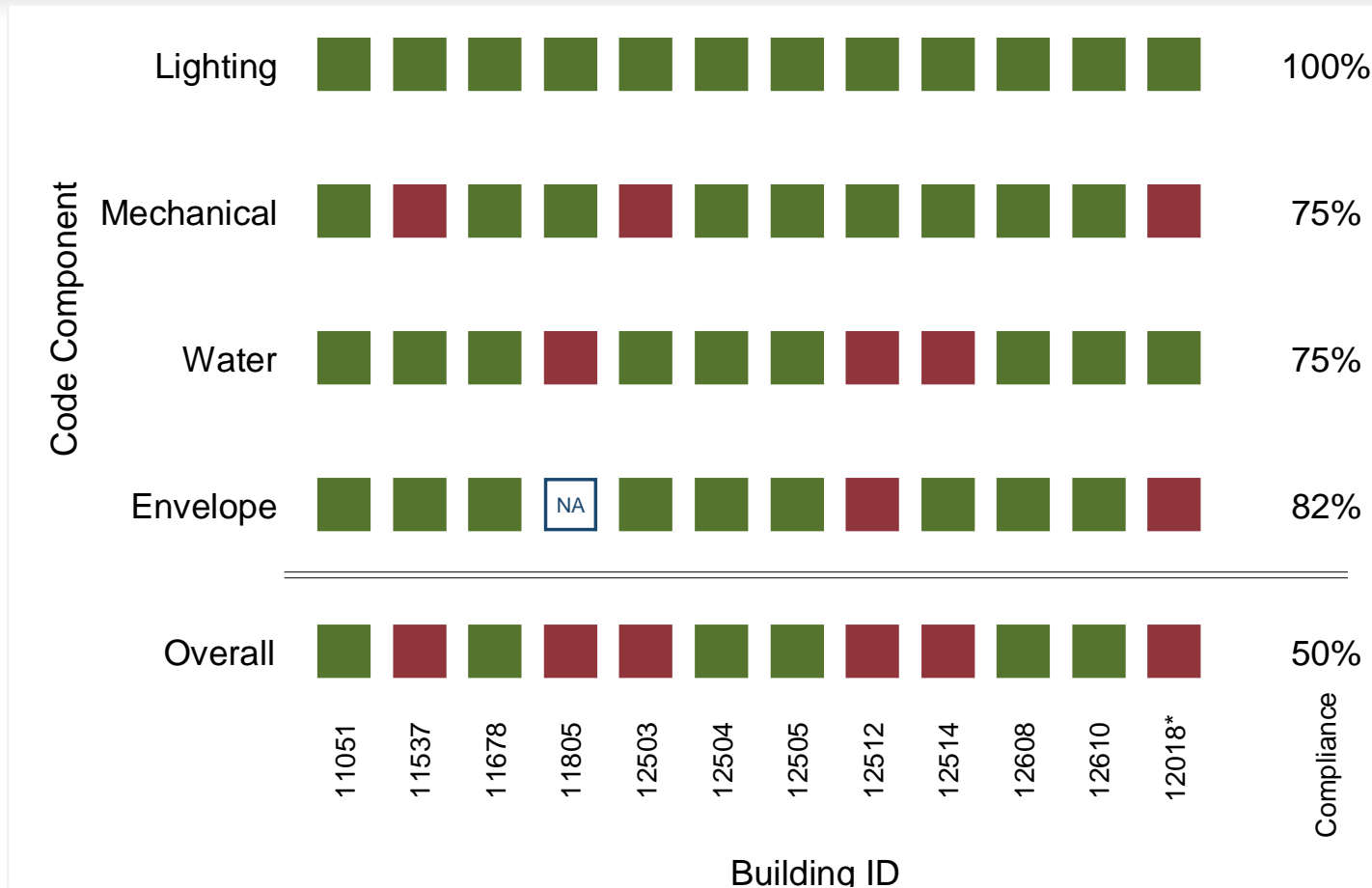
- Envelope: overall UA based on individual component UAs
- Mechanical: equipment efficiency, economizer, heat recovery, controls
- Service water: equipment efficiency, pump scheduling, pipe insulation
- Lighting: interior LPD, exterior lighting power, controls

# Compliance Assessment Steps

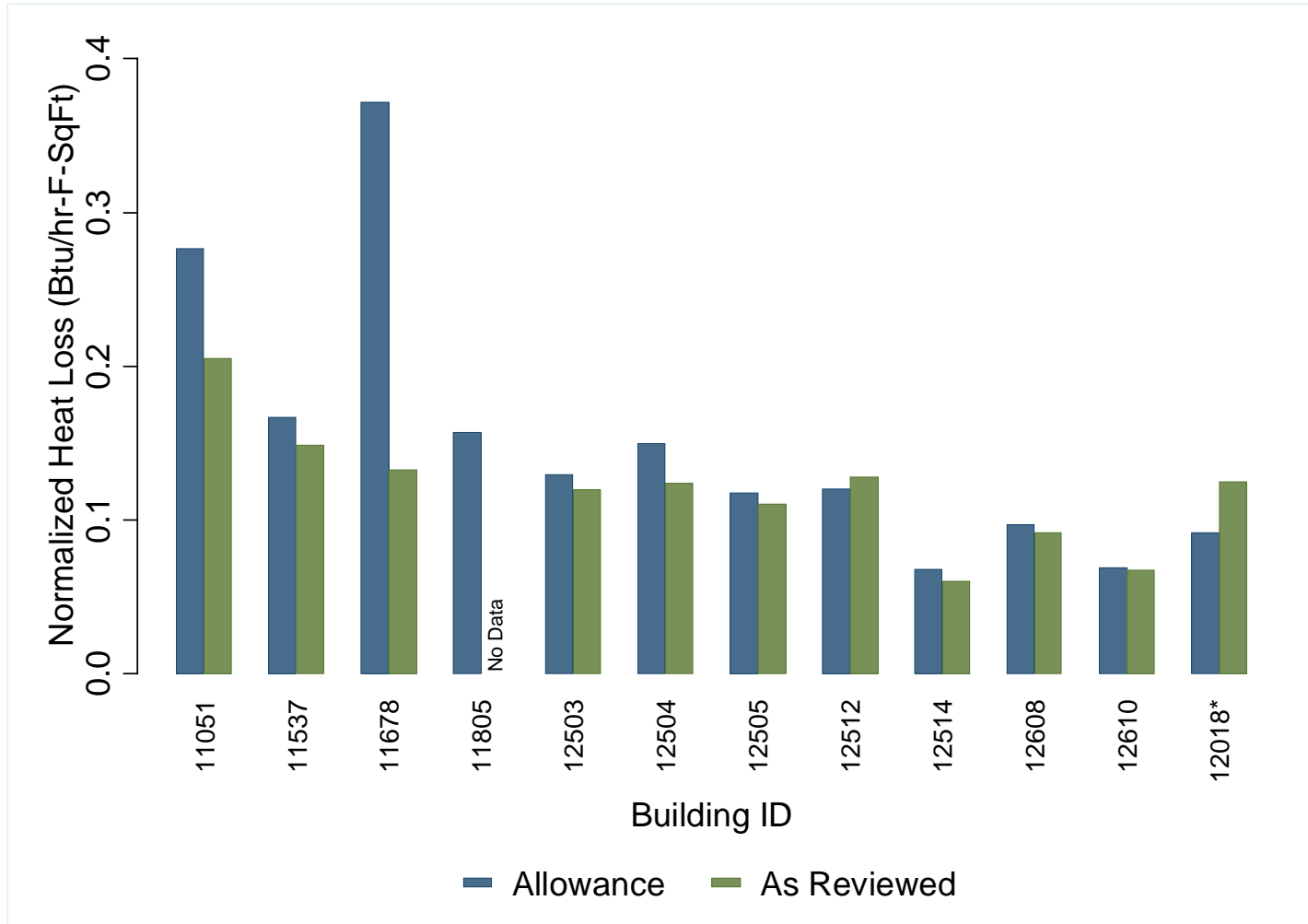




# Overall Compliance by Major Code Component



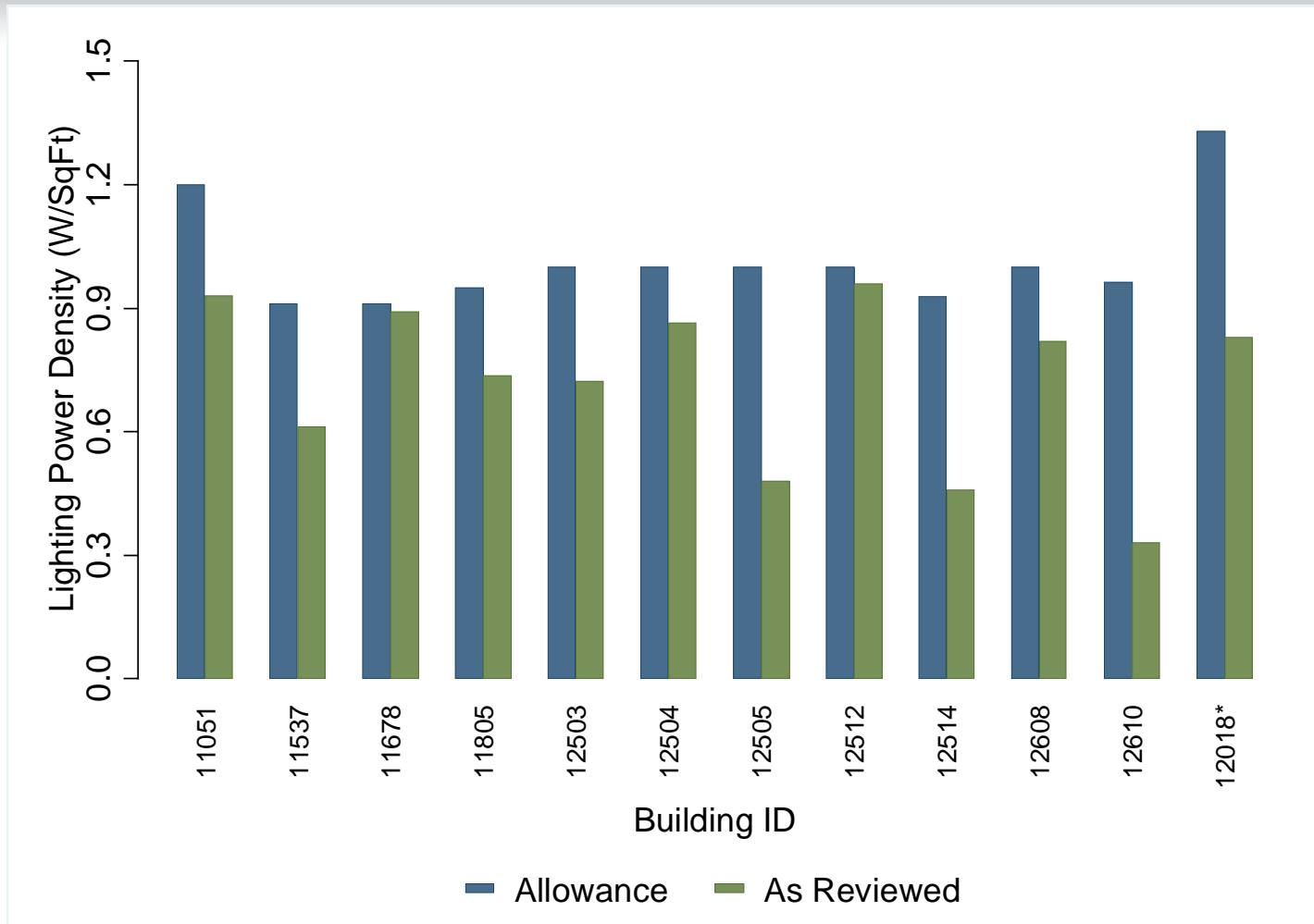
# Building Heat Loss Estimate Normalized by Floor Area



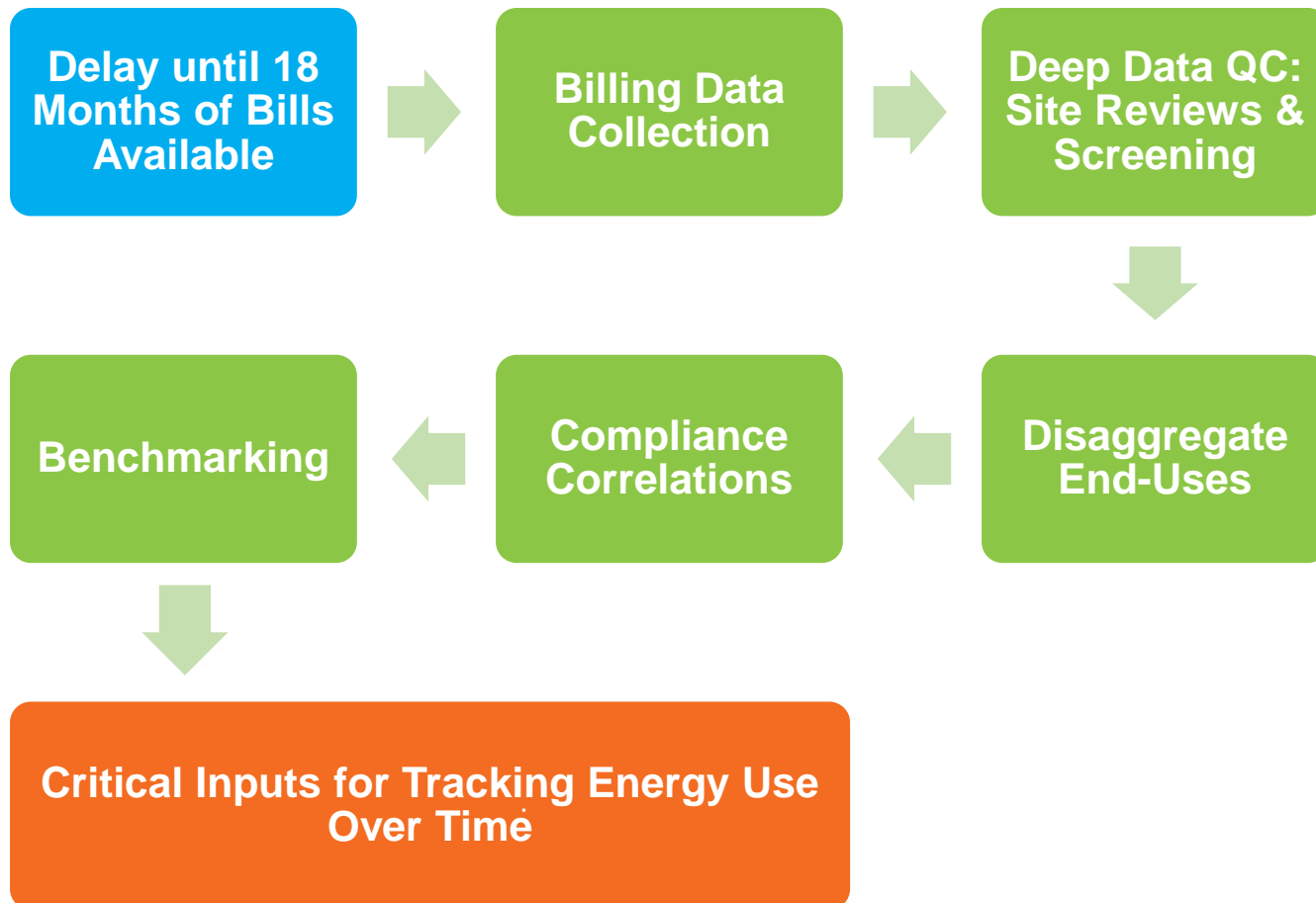
# Mechanical Subcomponent Compliance



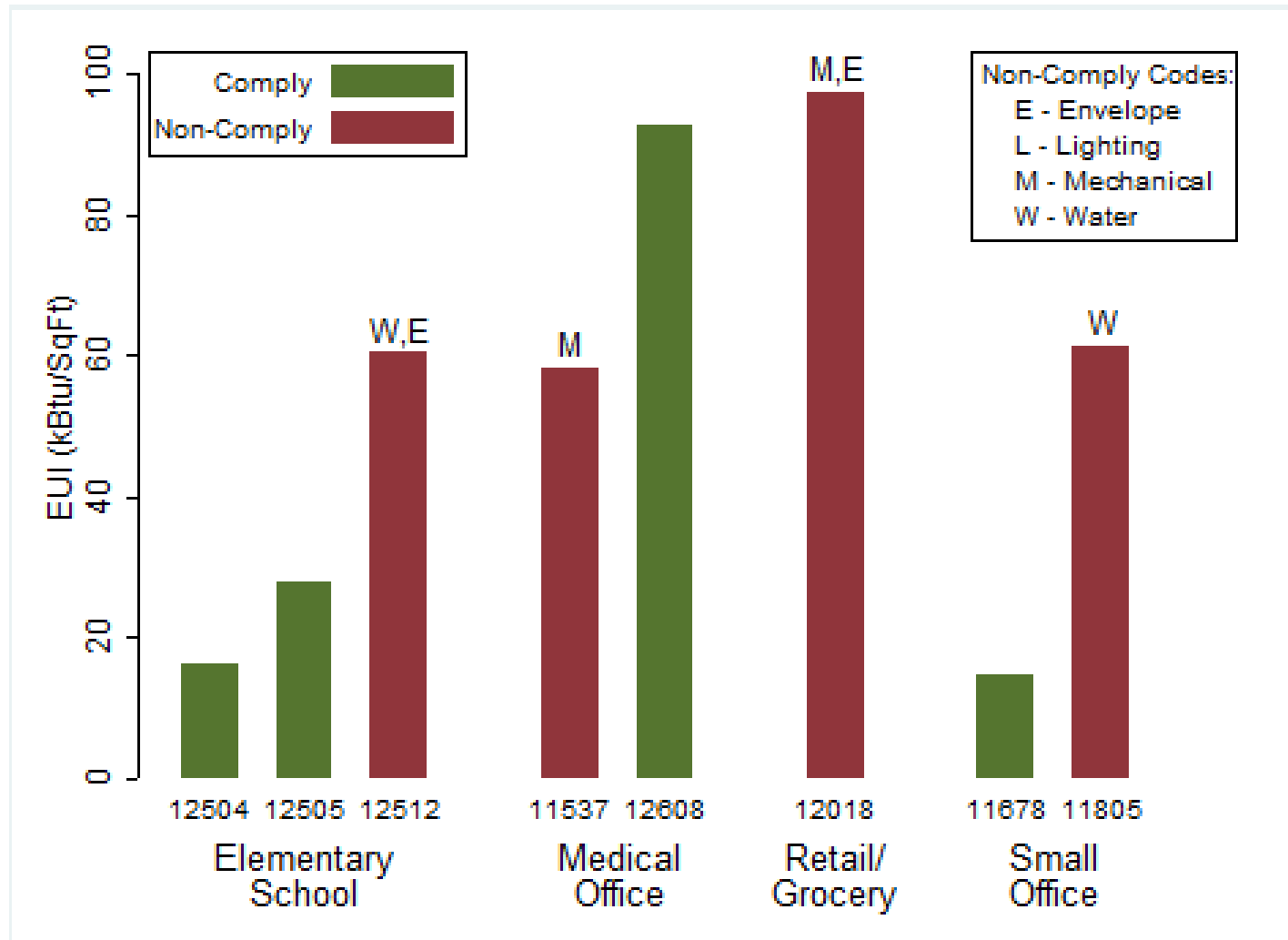
# Interior Lighting Power Density by Building



# Energy Performance Assessment Steps

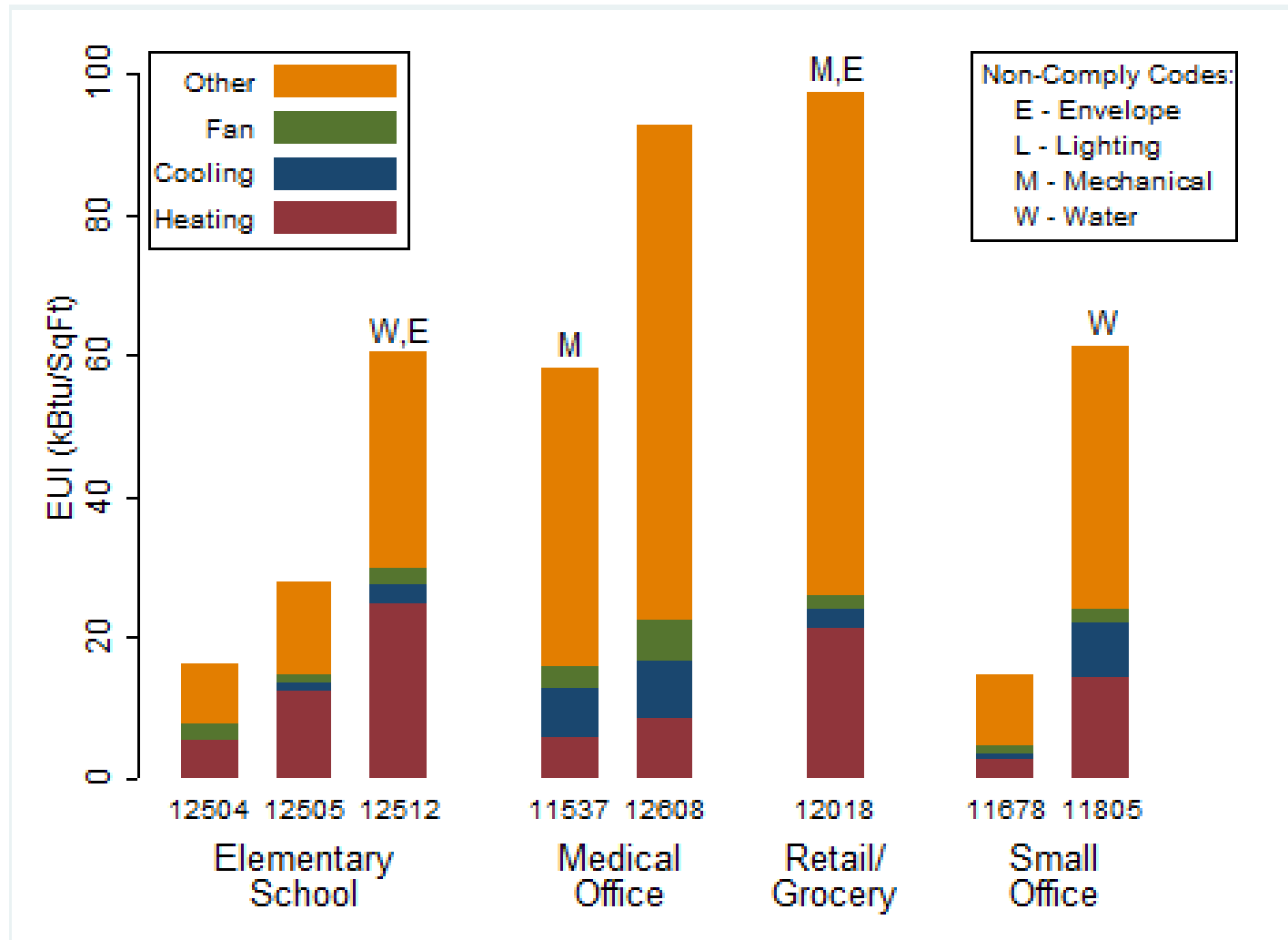


# EUI by Compliance (from Small Pilot)

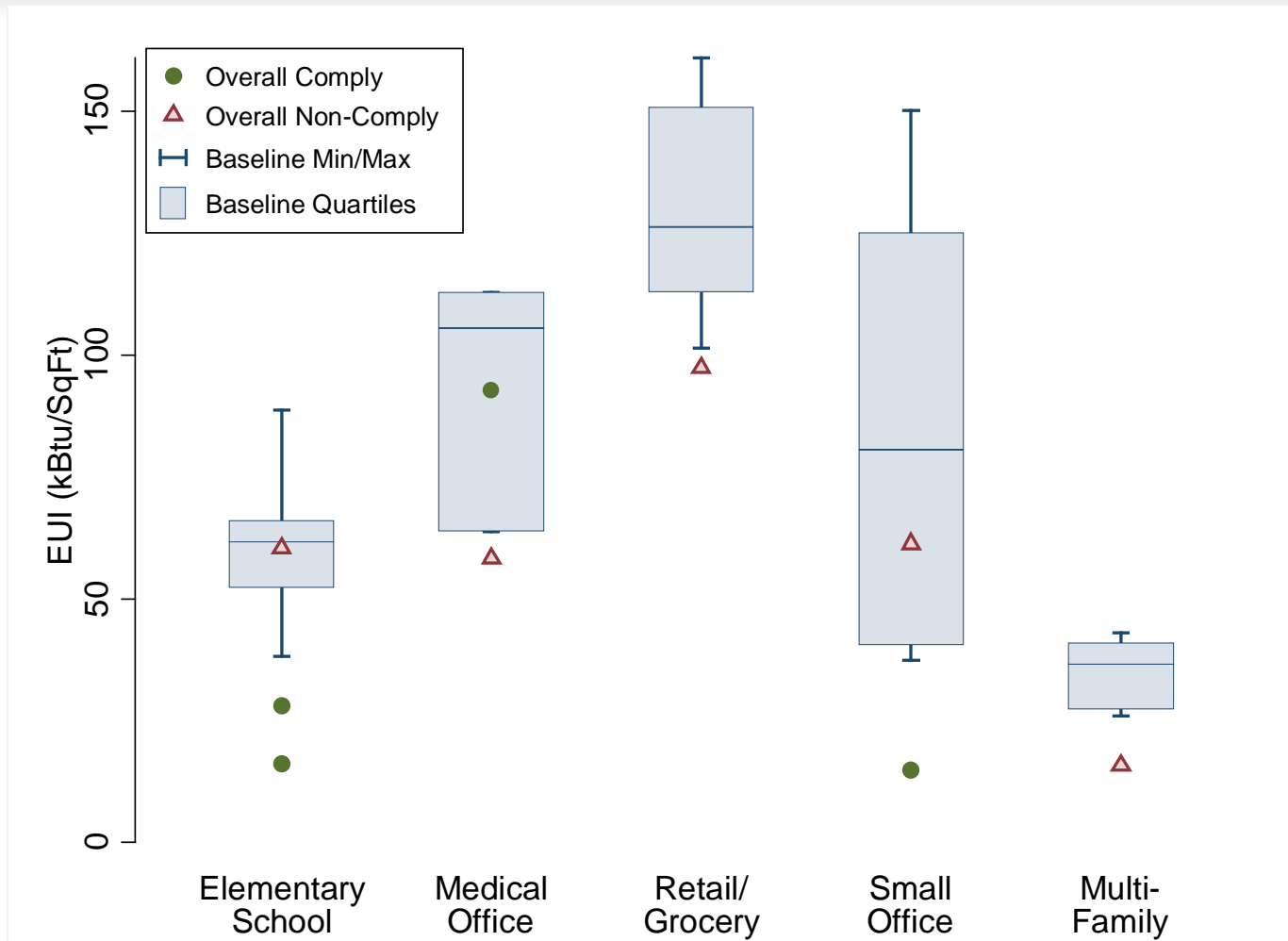




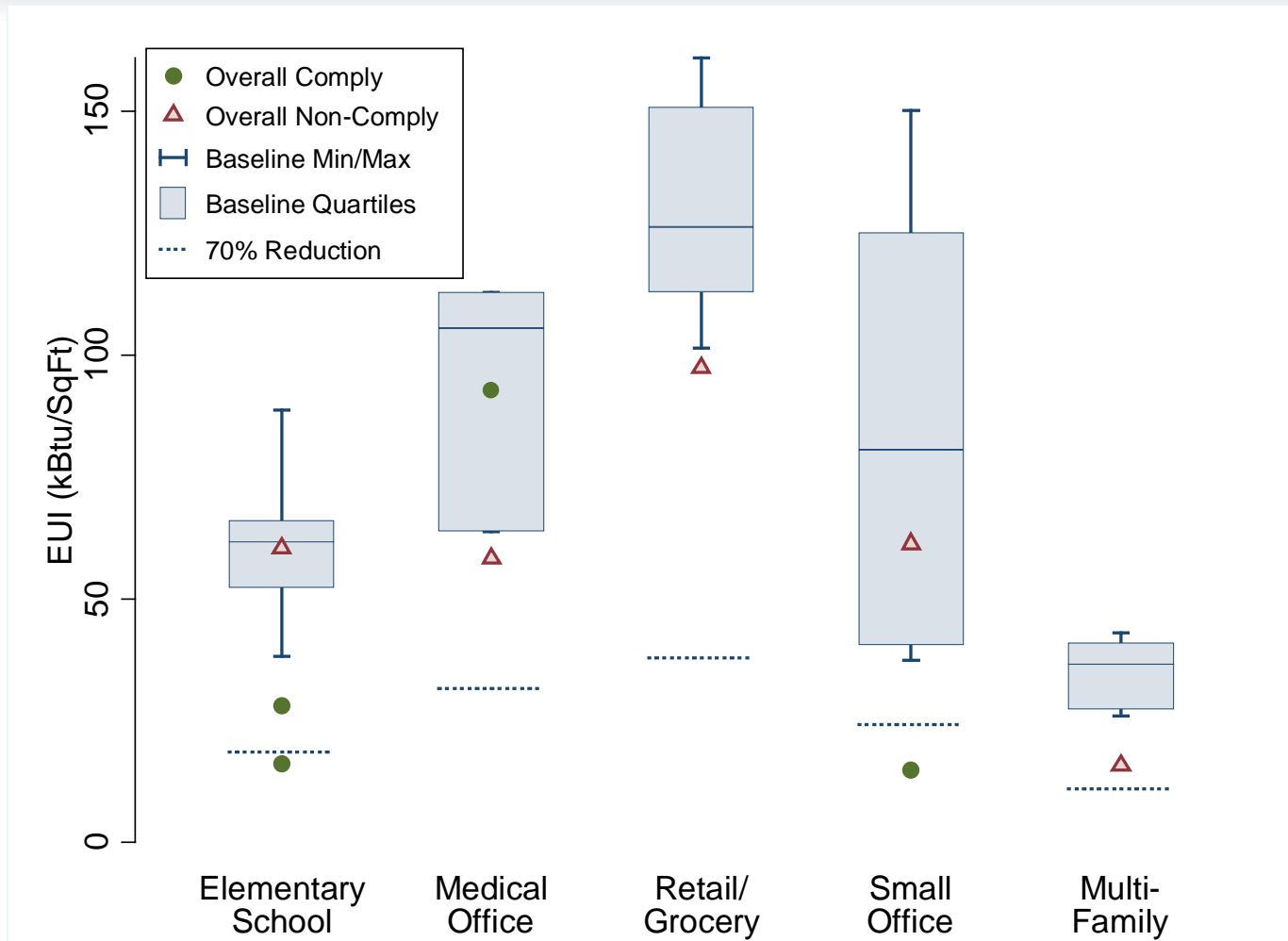
# Total EUI by End Use (from Small Pilot)



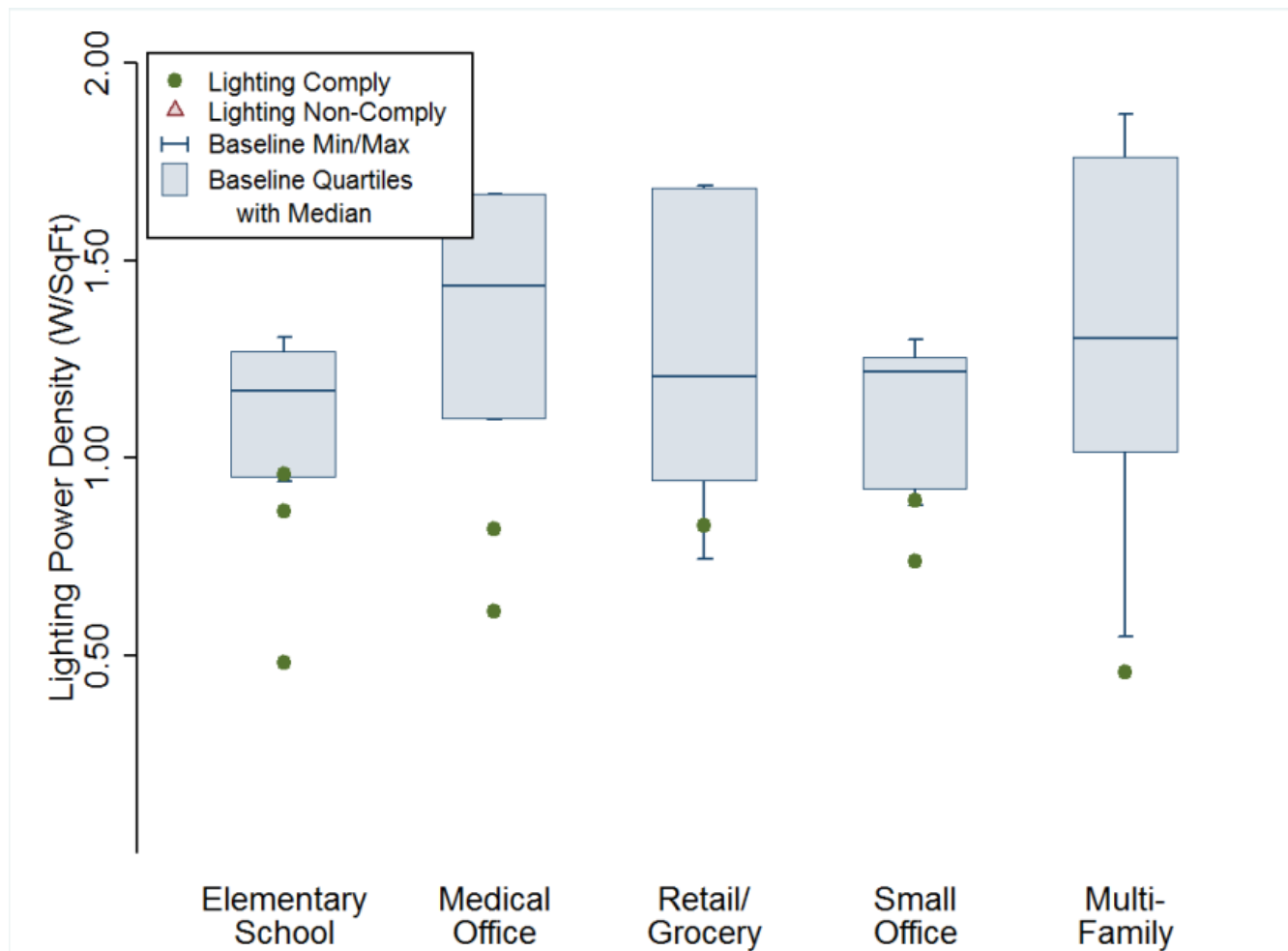
# Comparing Building EUIs to the 2006 New Construction Baseline (from Small Pilot)



# Tracking Progress Against State Energy Reduction Goals



# LPD Benchmark (from Small Pilot)



# Putting the Methodology into Practice



- Oregon Code Evaluation



- Washington Code Evaluation



- Idaho/Montana Code Evaluation

# Contact Info

Poppy Storm  
Director of Policy and Planning  
Ecotope, Inc.  
[poppy@ecotope.com](mailto:poppy@ecotope.com)  
206.596.4705

# Building Energy Codes Program - Resources



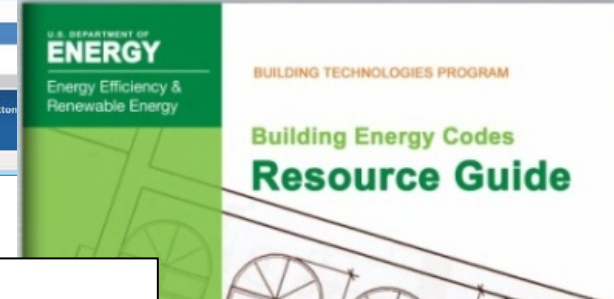
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- ▶ Compliance software
- ▶ Technical support
- ▶ Code notes
- ▶ Publications
- ▶ Resource guides
- ▶ Training materials

[www.energycodes.gov](http://www.energycodes.gov)

| Row | Component | Assembly                       | Orientation | Building Area Type             | Fenestration Details | Construction Details | Gross Area            | Cavity Insulation R-Value | Continuous Insulation R-Value | U-Factor |
|-----|-----------|--------------------------------|-------------|--------------------------------|----------------------|----------------------|-----------------------|---------------------------|-------------------------------|----------|
| 1   | Roof      | Insulation Entirely Above Deck |             | 1 - Retail ( Nonresidential... |                      |                      | 10000 ft <sup>2</sup> |                           | 38                            | 0.026    |
| 2   | Ext. Wall | Wood-Framed, 24in. o.c.        | North       | 1 - Retail ( Nonresidential... |                      |                      | 2600 ft <sup>2</sup>  | 20                        | 10                            | 0.037    |
| 3   | Window    | Vinyl Frame: Fixed             |             |                                |                      |                      |                       |                           |                               |          |
| 4   | Door      | Insulated Metal                |             |                                |                      |                      |                       |                           |                               |          |



**U.S. DEPARTMENT OF ENERGY** Energy Efficiency & Renewable Energy  
**BUILDING TECHNOLOGIES PROGRAM**

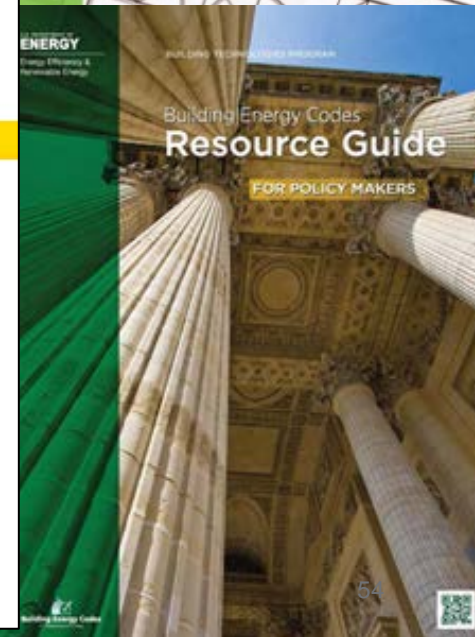
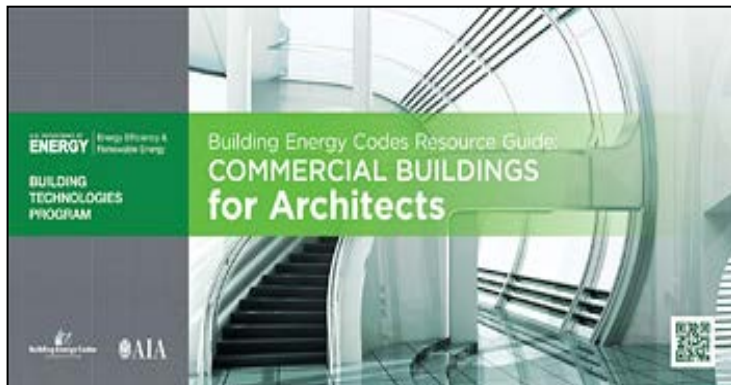
ANSI/ASHRAE/IES Standard 90.1-2010 & 2012 IECC

### Insulation Requirements in Commercial Buildings for Mechanical and Service Hot-Water Piping

The intent of the pipe insulation requirements is to reduce temperature changes while fluids are being transported through piping associated with heating, cooling or service hot water (SHW) systems, thereby saving energy and reducing operating costs.

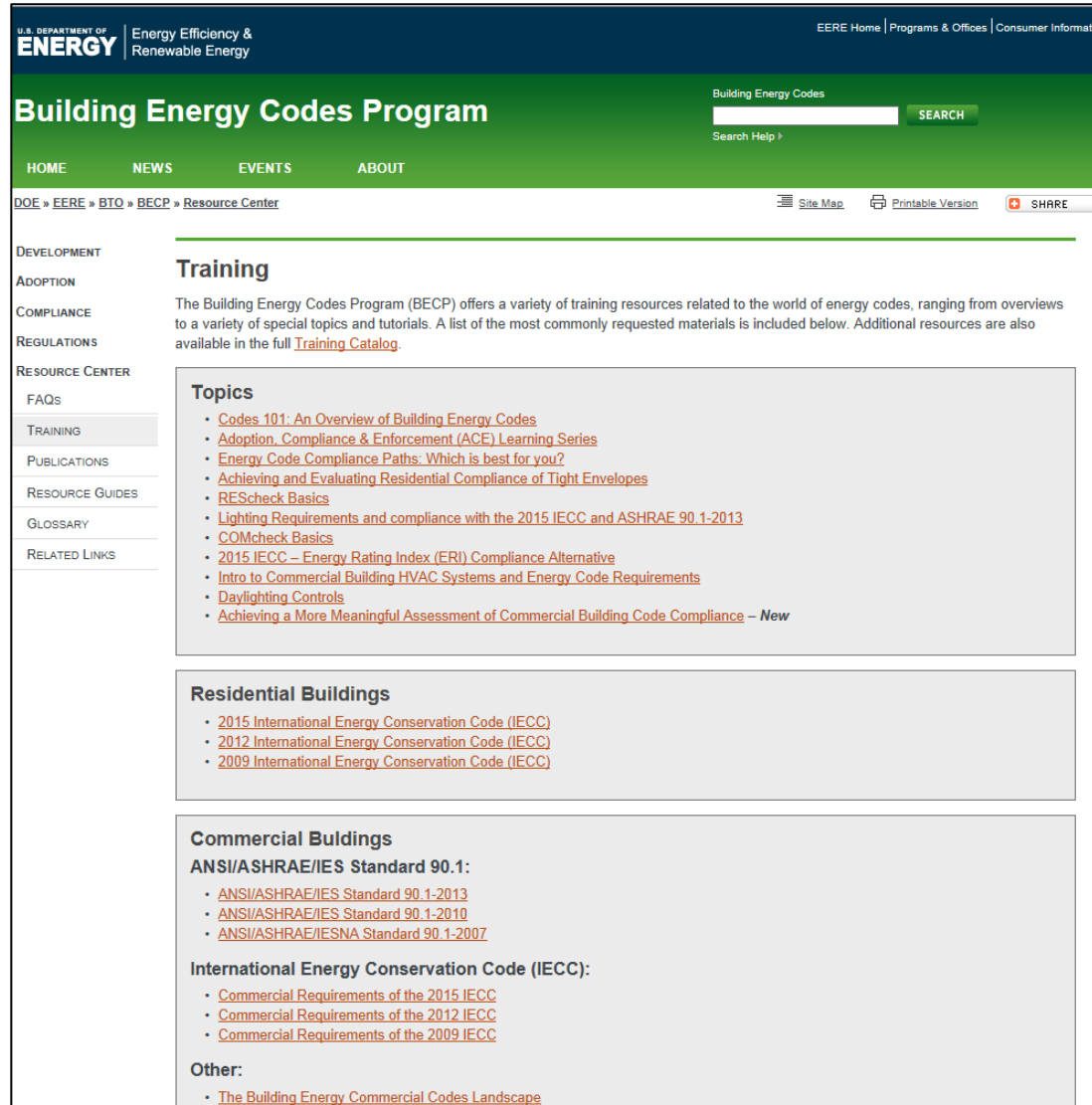
Uninsulated piping systems that transport fluids can create water temperature irregularities, which ultimately requires additional heating or cooling and associated energy costs to bring the water to operating temperature. Any piping that carries heated or cooled water, including piping systems with external heating (e.g., heat trace or impedance heating), should be thermally insulated to reduce heat loss or gain, allowing the fluid to be delivered at the intended temperature.

Any insulated piping in areas exposed to weather is required to be further protected from exposure to sunlight, moisture, and wind—all of which can...



# Training Topic Ideas?

► Give us your topic ideas



The screenshot shows the 'Building Energy Codes Program' website. The header includes the U.S. Department of Energy logo and navigation links for 'Energy Efficiency & Renewable Energy', 'EERE Home', 'Programs & Offices', and 'Consumer Information'. The main title is 'Building Energy Codes Program' with a search bar and 'SEARCH' button. A navigation menu includes 'HOME', 'NEWS', 'EVENTS', and 'ABOUT'. The breadcrumb trail is 'DOE » EERE » BTQ » BECP » Resource Center'. The left sidebar lists categories: DEVELOPMENT, ADOPTION, COMPLIANCE, REGULATIONS, RESOURCE CENTER (with sub-links for FAQs, TRAINING, PUBLICATIONS, RESOURCE GUIDES, GLOSSARY, and RELATED LINKS), and a 'SHARE' button. The main content area is titled 'Training' and contains the following text: 'The Building Energy Codes Program (BECP) offers a variety of training resources related to the world of energy codes, ranging from overviews to a variety of special topics and tutorials. A list of the most commonly requested materials is included below. Additional resources are also available in the full [Training Catalog](#).'

**Topics**

- [Codes 101: An Overview of Building Energy Codes](#)
- [Adoption, Compliance & Enforcement \(ACE\) Learning Series](#)
- [Energy Code Compliance Paths: Which is best for you?](#)
- [Achieving and Evaluating Residential Compliance of Tight Envelopes](#)
- [REScheck Basics](#)
- [Lighting Requirements and compliance with the 2015 IECC and ASHRAE 90.1-2013](#)
- [COMcheck Basics](#)
- [2015 IECC – Energy Rating Index \(ERI\) Compliance Alternative](#)
- [Intro to Commercial Building HVAC Systems and Energy Code Requirements](#)
- [Daylighting Controls](#)
- [Achieving a More Meaningful Assessment of Commercial Building Code Compliance – New](#)

**Residential Buildings**

- [2015 International Energy Conservation Code \(IECC\)](#)
- [2012 International Energy Conservation Code \(IECC\)](#)
- [2009 International Energy Conservation Code \(IECC\)](#)

**Commercial Buildings**

**ANSI/ASHRAE/IES Standard 90.1:**

- [ANSI/ASHRAE/IES Standard 90.1-2013](#)
- [ANSI/ASHRAE/IES Standard 90.1-2010](#)
- [ANSI/ASHRAE/IESNA Standard 90.1-2007](#)

**International Energy Conservation Code (IECC):**

- [Commercial Requirements of the 2015 IECC](#)
- [Commercial Requirements of the 2012 IECC](#)
- [Commercial Requirements of the 2009 IECC](#)

**Other:**

- [The Building Energy Commercial Codes Landscape](#)



# THANK YOU!

Building Energy Codes Program  
[www.energycodes.gov/training](http://www.energycodes.gov/training)

BECP help desk  
<https://www.energycodes.gov/HelpDesk>





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# Backup Slides

# 1. Identify Applicable Requirements Measure List (2.3.1)



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|                                                                                 |                                                                           |
|---------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| Roofs shall be insulated to meet CZ requirements                                | Demand control ventilation                                                |
| Skylight curbs shall be insulated                                               | Energy recovery requirement                                               |
| Above grade frame walls shall be insulated to meet CZ requirements              | Duct insulation requirement                                               |
| Above grade mass walls shall be insulated to meet CZ and density requirements   | Duct leakage requirement                                                  |
| Below grade walls shall meet insulation requirements and be protected           | Lighting Commissioning requirement                                        |
| Exterior floors shall meet the minimum R-value or U-value by assembly type      | Mechanical systems Commissioning requirement                              |
| Slab-on-grade floors shall meet insulation requirements and be protected        | Fan power limit requirement                                               |
| Opaque doors shall meet U-factor requirements                                   | Economizer supplies 100% design supply air                                |
| Window-to-wall ratio shall meet maximum limits                                  | Economizers have appropriate high-limit shutoff control and be integrated |
| Skylight to roof ratio shall meet maximum limits                                | Water heater efficiency, Gas                                              |
| Windows shall meet U-factor requirements                                        | Water heater efficiency, Electric                                         |
| Windows shall meet U-factor requirements In entry doors                         | SWH Heat Trap                                                             |
| Windows shall meet SHGC requirements                                            | SWH Pipe Insulation - Recirculated                                        |
| Skylights shall meet U-factor requirements                                      | SWH Pipe Insulation - Non-recirculated                                    |
| Skylights shall meet SHGC requirements                                          | Manual lighting control                                                   |
| Building shall meet continuous air barrier requirements                         | Automatic time switch control                                             |
| Recessed lighting shall be sealed, rated and labeled                            | Occupancy sensor control                                                  |
| Fenestration assemblies shall meet air leakage requirements                     | Daylight zone control                                                     |
| Bld openings to shafts, stairways, and elevator lobbies meet air leakage reqmts | Display lighting control                                                  |
| Stairway and shaft vents shall be provided with Class I motorized dampers       | Task lighting control                                                     |
| Loading dock doors shall be equipped with weather seals                         | Exterior lighting control                                                 |
| Building entrances shall be protected with an enclosed vestibule                | Tandem wiring                                                             |
| Equipment sizing requirement                                                    | Exit sign maximum power                                                   |
| Packaged air conditioner efficiency                                             | Interior lighting power allowance                                         |
| Packaged heat pump efficiency                                                   | Exterior lighting power allowance                                         |
| Gas furnace efficiency                                                          | Optional Additional packaged air conditioner cooling Efficiency           |
| Thermostatic control is used for individual zones                               | Optional Additional packaged heat pump efficiency                         |
| Heat pump supplementary heat control                                            | Optional Additional packaged air conditioner furnace efficiency           |
| Thermostat deadband requirement                                                 | Optional Additional Reduced whole building LPD                            |
| Thermostat setback and start/stop controls                                      | Optional onsite renewable                                                 |
| Optimal start controls                                                          |                                                                           |

# 5. Field Audits



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## Building Code Verification Record

### Building Information

|                               |                                                                                                                                                                                                                                              |      |
|-------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| Building Identifier           | 104953                                                                                                                                                                                                                                       |      |
| City/St                       | Vancouver/WA                                                                                                                                                                                                                                 |      |
| Conditioned Floor Area (sqft) | 7,075                                                                                                                                                                                                                                        |      |
| Number of Floors              | 1                                                                                                                                                                                                                                            |      |
| Occupancy 1                   | Office                                                                                                                                                                                                                                       | 100% |
| Occupancy 2                   |                                                                                                                                                                                                                                              | 0%   |
| Occupancy 3                   |                                                                                                                                                                                                                                              | 0%   |
| Building comments:            | New office adjacent to production/storage/repair facility for natural resource business. Total ft2 about 25000. Split system heat pump systems serve individual zones. Because of stage of construction, had to use permit set vs as-builts. |      |

### Date

|                     |           |
|---------------------|-----------|
| Plan Revu           | 10/5/2015 |
| Site Visit 1        | 10/1/2015 |
| Site Visit 2        |           |
| ASHRAE Climate Zone | 4C        |

### See timing inputs to right >>

Note record total plan and field time by area at right  
For each measure record time estimate below  
View reconciliation in column S and adjust estimates to match

|                                          |                |
|------------------------------------------|----------------|
| Actual code                              | 2012 IECC with |
| Which option path?                       |                |
| Total Tons Cooling                       | 11             |
| Total MBH Heating                        | 209            |
| Complied via whole building performance? | N              |

### Verification Time Record for this building

| Area                       | Plan        | Field       | Plan Est    | Field Est   |    |
|----------------------------|-------------|-------------|-------------|-------------|----|
| Travel & Indirect          | 1.50        | 1.00        | 1.50        | 1.00        |    |
| General                    | 3.50        | 0.00        | 3.50        | 0.00        |    |
| Envelope                   | 0.60        | 0.60        | 0.61        | 0.60        | E  |
| Lighting LPD               | 0.15        | 0.30        | 0.20        | 0.33        | L  |
| Lighting Controls          | 0.20        | 0.25        | 0.25        | 0.31        | LC |
| Mechanical & SHW Equip     | 0.50        | 0.60        | 0.30        | 0.60        | M  |
| Mechanical & SHW Controls  | 0.10        | 0.25        | 0.08        | 0.25        | MC |
| <b>Total this building</b> | <b>5.05</b> | <b>2.00</b> | <b>4.94</b> | <b>2.09</b> |    |

| Measure (see requirements tab for items included)                             | Apply to Bldg | Exception used? | Plan | Field | Select Closest to Identified Condition (if not exact condition, describe and apply rating to right) | Default Energy Rating +10/0/-10 | Final Override Energy Rating | Found Factor | Required Factor | Factor Units            | Plan Review Comments and Description                                                                                                                                                                    | Field Inspection Condition Comments and Description | Applicable quantity, affected | Applicable units                   | Est Plan Time, hr | Est Field Time, hr | Area for Time Check | Comments about barriers to checking or special tools or expertise required | Cx Req'd? | Cx done |
|-------------------------------------------------------------------------------|---------------|-----------------|------|-------|-----------------------------------------------------------------------------------------------------|---------------------------------|------------------------------|--------------|-----------------|-------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-------------------------------|------------------------------------|-------------------|--------------------|---------------------|----------------------------------------------------------------------------|-----------|---------|
| Roofs shall be insulated to meet CZ requirements                              | Y             | N               | Rvu  | Insp  | 0.0: 100%-U; Good installation; ; Code                                                              | 0.0                             | 0.0                          | 0.039        | 0.039           | U-factor                | metal stud building; continuous insulation layer added outboard of girts. R-30 between girts with contin R-11 outboard. Probably equiv to code req                                                      |                                                     | 6,997                         | ft2 net roof area                  | 0.05              | 0.05               | E                   |                                                                            | No        |         |
| Skylight curbs shall be insulated.                                            | N             | N               |      |       |                                                                                                     |                                 |                              |              | 5.000           | R-value                 |                                                                                                                                                                                                         |                                                     | 58                            | ft2 of skylight curb               |                   |                    | E                   |                                                                            | No        |         |
| Above grade frame walls shall be insulated to meet CZ requirements            | Y             | N               | Rvu  | Insp  | 4.2: 75%-U; Installed PerMfg; ; Above                                                               | 4.2                             | 4.2                          | 0.040        | 0.050           | U-Factor                | see notes for ceiling, above. For walls, same detail used (including R-30 batts as first layer) and then 1 in rigid over everything. Found factor is an estimate; has some derating due to metal studs. |                                                     | 2,660                         | ft2 net opaque wall area           | 0.08              |                    | E                   |                                                                            | No        |         |
| Above grade mass walls shall be insulated to meet CZ and density requirements | N             | N               |      |       |                                                                                                     |                                 |                              |              | 0.078           | U-factor                |                                                                                                                                                                                                         |                                                     | 2,660                         | ft2 net opaque wall area           |                   |                    | E                   |                                                                            | No        |         |
| Below grade walls shall meet insulation requirements and be protected.        | N             | N               |      |       |                                                                                                     |                                 |                              |              | 0.119           | C-factor                |                                                                                                                                                                                                         |                                                     | 0                             | ft2 net opaque wall area           |                   |                    | E                   |                                                                            | No        |         |
| Exterior floors shall meet the minimum R-value or U-value by assembly type    | N             | N               |      |       |                                                                                                     |                                 |                              |              | 0.074/0.033     | factor mass/joist       |                                                                                                                                                                                                         |                                                     | 6,997                         | ft2 exterior/crawl floor           |                   |                    | E                   |                                                                            | No        |         |
| Slab-on-grade floors shall meet insulation requirements and be protected.     | Y             | N               | Rvu  | Inacc | 0.0: 100%-R; Full depth; Protected; Code                                                            | 0.0                             | 0.0                          | 0.540        | 0.540           | F-factor                | R-10 perimeter insulation (vertical) spec'd                                                                                                                                                             |                                                     | 380                           | LF-Perimeter                       | 0.06              |                    | E                   |                                                                            | No        |         |
| Opaque doors shall meet U-factor requirements.                                | Y             | N               | Rvu  | Insp  | 10.0: 50%-U; ; ; Best                                                                               | 10.0                            | 10.0                         | 0.19         | 0.370           | U-Factor                | foam core metal flush                                                                                                                                                                                   |                                                     | 189                           | ft2 doors, net of windows in doors | 0.05              | 0.08               | E                   |                                                                            | No        |         |
| Window-to-wall ratio shall meet maximum limits.                               | Y             | N               | Rvu  | Insp  | 10.0: 20% WWR; DL Controls; ; Above                                                                 | 10.0                            | 8.3                          | 25.0%        | 0.300           | % window area           |                                                                                                                                                                                                         |                                                     | 3,800                         | ft2 Gross Ext Wall                 | 0.08              | 0.1                | E                   |                                                                            | No        |         |
| Skylight to roof ratio shall meet maximum limits                              | N             | N               |      |       |                                                                                                     |                                 |                              | 0.011        | 0.030           | % skylight area         |                                                                                                                                                                                                         |                                                     | 7,075                         | ft2 Gross Roof Area                |                   |                    | E                   |                                                                            | No        |         |
| Windows shall meet U-factor requirements.                                     | Y             | N               | Rvu  | Insp  | 0.0: 100%-U; ; ; Code                                                                               | 0.0                             | 0.0                          | 0.41         | 0.38/0.45       | U-Factor fixed/operable | U-value in cell J is wtd avg of all window types                                                                                                                                                        |                                                     | 886                           | ft2 window affected                | 0.05              | 0.15               | E                   |                                                                            | No        |         |
| Windows shall meet U-factor requirements. In entry doors                      | Y             | N               | Rvu  | Insp  | 0.0: 100%-U; ; ; Code                                                                               | 0.0                             | 0.0                          | 0.75         | 0.77            | U-Factor entry          |                                                                                                                                                                                                         |                                                     | 65                            | ft2 window affected                | 0.03              | 0.05               | E                   |                                                                            | No        |         |
| Windows shall meet SHGC requirements.                                         | Y             | N               | Rvu  | Insp  | 7.3: 75%-SHGC; ; ; Above                                                                            | 7.3                             | 6.2                          | 0.34         | 0.40            | SHGC                    |                                                                                                                                                                                                         |                                                     | 886                           | ft2 window affected                | 0.03              | 0.05               | E                   |                                                                            | No        |         |
| Skylights shall meet U-factor requirements.                                   | N             | N               |      |       |                                                                                                     |                                 |                              |              | 0.500           | U-Factor                |                                                                                                                                                                                                         |                                                     | 78                            | ft2 skylight affected              |                   |                    | E                   |                                                                            | No        |         |
| Skylights shall meet SHGC requirements.                                       | N             | N               |      |       |                                                                                                     |                                 |                              |              | 0.400           | SHGC                    |                                                                                                                                                                                                         |                                                     | 78                            | ft2 skylight affected              |                   |                    | E                   |                                                                            | No        |         |
| Building shall meet continuous air                                            | Y             | N               | Rvu  | Phase | 0.0: Not tested; CAB sealed and intact; Mtls or assemb                                              | 0.0                             | 0.0                          |              | NA              | NA                      | language on plans suggests air                                                                                                                                                                          |                                                     | 17,872                        | ft2 thermal                        | 0.06              |                    | E                   |                                                                            | No        |         |